



## Missouri In-State Pharmacy Change of Ownership Application

### APPLICATION & INSTRUCTIONS

#### IMPORTANT INFORMATION:

- Submit this application if you are applying to change ownership for a pharmacy physically located in Missouri. A different form is required if the pharmacy is changing location, changing names or adding/removing a permit classification. Forms are available online at <http://pr.mo.gov/pharmacists-forms.asp>.
- **Pharmacy permits are not transferable.** You may not begin operating under the new ownership until a temporary or permanent permit has been issued for the new owners (*see Overview below*).
- Please allow **4-6 weeks** for your application to be processed.
- Questions regarding this application may be sent to [pharmacy@pr.mo.gov](mailto:pharmacy@pr.mo.gov) or (573) 526-6985 (phone) or (573) 526-3464 (fax). Please limit phone calls to the extent necessary.
- Keep a copy of the completed application for your records.

#### OVERVIEW OF IN-STATE PHARMACY CHANGE OF OWNERSHIP PROCESS

► <b>STEP 1:</b>	Submit a completed Missouri In-State Pharmacy Change of Ownership Application along with the <u>Prior Owner Affidavit</u> and the required \$ 300.00 application fee.
► <b>STEP 2:</b>	Notify the Board office that the change of ownership has been completed. Notification can be made by calling (573) 526—6985 or e-mailing <a href="mailto:pharmacy@pr.mo.gov">pharmacy@pr.mo.gov</a> . Applicants are responsible for notifying the Board once the change has been completed. <b>Pharmacy permits are not transferable.</b> The pharmacy may not begin operating under the new ownership until a new temporary or permanent pharmacy permit has been issued.
► <b>STEP 3:</b>	A temporary pharmacy permit will be issued when you notify the Board office that the change of ownership has been completed. <ul style="list-style-type: none"><li>• A temporary permit number <u>cannot</u> be issued until the <u>Prior Owner Affidavit</u> has been completed and returned. Once a temporary permit is issued, the prior permit will be deemed <u>null and void</u> and will be officially terminated in the Board's records.</li><li>• You may begin operating under the new ownership once a temporary permit has been issued. The temporary permit number will be reflected on the Board's website within twenty-four (24) hours after issuance. On request, temporary permit numbers can also be provided by the office over the phone or e-mailed.</li><li>• <i>The Board reserves the right to withhold a temporary permit pending further investigation or inquiry.</i></li></ul>
► <b>STEP 4:</b>	Complete and return the <u>Pharmacy Self-Inspection Form</u> and the <u>Pharmacist-in-Charge Statement</u> . The Pharmacy Self-Inspection Form will be mailed to the applicant along with the temporary permit. The Pharmacist-In-Charge Statement is included with this application. <ul style="list-style-type: none"><li>• A Board inspection is <u>not</u> required for a change of ownership. Instead, the Pharmacy Self-Inspection Form must be completed.</li><li>• Temporary permits expire within six (6) months. The temporary permit will be voided if the application is not completed within the required six (6) months.</li></ul>
► <b>STEP 5:</b>	Final application approval and the pharmacy's permanent permit issued. The permanent permit will be mailed to the pharmacy's physical address. Please allow 3-5 days for mailing. <ul style="list-style-type: none"><li>• The pharmacy's permanent permit will not be approved until the Pharmacy Self-Inspection Form and the Pharmacist-In-Charge Statement have been received and all other Missouri requirements have been satisfied.</li><li>• <i>The Board reserves the right to deny or restrict a permanent permit as provided by Missouri law even if a temporary permit has been issued.</i></li></ul>

#### APPLICATION CHECKLIST/INSTRUCTIONS



## Missouri In-State Pharmacy Change of Ownership Application

- ☐ **Completed and signed application form.** Incomplete applications will be returned for correction.
- ☐ **Application fee of \$300.00** made payable to the Missouri Board of Pharmacy. All fees are deposited upon receipt and are **non-refundable**. A deposited fee does not indicate that the application has been accepted or approved.
- ☐ **Pharmacist-in-Charge Statement:** Must be signed and notarized by the pharmacist-in-charge.
- ☐ **Prior Owner Affidavit:** Must be signed, notarized and returned to the Board before a temporary permit is issued.
- ☐ **Business Entity State Tax Compliance Form:** A "Business Entity State Tax Compliance Form" is included with this application. This application will not be accepted without a Business Entity State Tax Compliance Form.
- ☐ **Certificate of No Tax Due:** Missouri law requires that any business being licensed by the state must provide a Certificate of No Tax Due from the Missouri Department of Revenue if the business engages in retail sales other than prescriptions. Certificates may be obtained at <http://dor.mo.gov/business/sales/notaxdue/>. Questions about obtaining a Certificate should be addressed to the Missouri Dept. of Revenue at (573) 751-9268. *Note: A Certificate is not required if the Business Entity State Tax Compliance Form is marked to identify that the applicant does not engage in the sale of goods at retail.*
- ☐ **Pharmacy Self-Inspection Form:** The Self-Inspection form will be sent to you after your temporary permit has been issued. The form must be completed and returned to the office before a final permit is issued.
- ☐ **Subscribe to Board's electronic newsletter/e-alerts:** The Board provides important regulatory news and licensing updates via its electronic newsletter and specially issued e-alerts. Sign up for the Board's newsletter and e-alerts online at <http://pr.mo.gov/pharmacists-newsletter.asp>.

The applicant should also obtain and submit applications to the following agencies (as applicable):

- ☐ **Missouri Department of Revenue (Taxation)** (573) 751-5860  
Div. of Taxation, Office of Registration  
P.O. Box 3300, Jefferson City, MO 65102
  - ☐ **Bureau of Narcotics and Dangerous Drugs\*\* (State Controlled Substances)** (573) 751-6321  
P.O. Box 570, Jefferson City, MO 65102
  - ☐ **Drug Enforcement Administration\*\* (Federal Controlled Substances)** (913) 951-4100  
7600 College Blvd, Suite 100, Overland Park, KS 66210
- OR**
- ☐ **Drug Enforcement Administration\*\*** (314) 538-4600  
317 South 16th Street, Saint Louis, MO 63103 (888) 803-1179  
(Requests for DEA Order Forms to purchase Schedule II drugs should be made on the DEA application.)
  - ☐ **Provider Enrollment (MoHealthNet)** <https://peu.momed.com>  
Missouri Dept. of Social Services e-mail: [providerenrollment@dss.mo.gov](mailto:providerenrollment@dss.mo.gov)  
P.O. Box 6500, Jefferson City, MO 65102

**\*\* The Missouri Bureau of Narcotics and Dangerous Drugs ("BNDD") and the Drug Enforcement Administration will generally hold the processing of their applications until the Board of Pharmacy has issued a pharmacy permit. The Board office will contact BNDD after a temporary permit has been issued by the Board. Unless other cause exists for delaying or preventing BNDD from issuing a registration, BNDD will issue a Missouri Controlled Substance Registration Number. The pharmacy may contact BNDD to confirm that a number has been issued. At the time the pharmacy is notified that the BNDD registration has been issued, BNDD will notify DEA to approve the federal registration. The federal Certificate of Registration is issued from Washington, D.C.**



## **MISSOURI PHARMACY PERMIT CLASS DEFINITIONS**

(The Board's rules & statutes are available at <http://pr.mo.gov/pharmacists-rules-statutes.asp>)

1. **Class A: Community/Ambulatory.** A pharmacy that provides services as defined in section 338.010, RSMo, to the general public (including veterinary).
2. **Class B: Hospital Pharmacy.** A hospital as defined in section 197.020 or a clinic or facility under common control, management, or ownership of the same hospital or hospital system.
3. **Class C: Long-Term Care.** A pharmacy that provides services as defined in section 338.010, RSMo, by the dispensing of drugs and devices to patients residing within long-term care facilities. a long-term care facility means a nursing home, retirement care, mental care or other facility or institution which provides extended health care to resident patients.
4. **Class D: Non-Sterile Compounding.** A pharmacy that provides services as defined in section 338.010, RSMo, and provides a non-sterile compounded product as defined in 20 CSR 2220-2.400(1) made from any bulk active ingredient in a batch quantity as defined in 20 CSR 2220-2.400(3).
5. **Class E: Radiopharmaceutical.** A pharmacy that is not open to the general public and provides services as defined in section 338.010, RSMo, limited to the preparation and dispensing of radioactive drugs as defined by the food and drug administration (FDA) to health care providers for use in the treatment or diagnosis of disease and that maintains a qualified nuclear pharmacist as the pharmacist-in-charge.
6. **Class F: Renal Dialysis.** A pharmacy that is not open to the general public that provides services as defined in section 338.010, RSMo, limited to the dispensing of renal dialysis solutions and other drugs and devices associated with dialysis care.
7. **Class G: Medical Gas.** A pharmacy that provides services as defined in section 338.010, RSMo, through the provision of oxygen and other prescription gases for therapeutic uses.
8. **Class H: Sterile Product Compounding.** A pharmacy that provides services as defined in section 338.010, RSMo, and provides a sterile pharmaceutical as defined in 20 CSR 2220-2.200(11)(i) and (aa). A Class H permit is not required for pharmacies only providing sterile products within the exemptions outlined in 20 CSR 2220-2.200(25).
9. **Class I: Consultant.** A location where any activity defined in section 338.010, RSMo, is conducted, but which does not include the procurement, storage, possession or ownership of any drugs from the location.
10. **Class J: Shared Service.** A pharmacy that provides services as defined in section 338.010, RSMo, and is involved in the processing of a request from another pharmacy to fill or refill a prescription drug order, or that performs or assists in the performance of functions associated with the dispensing process, drug utilization review (DUR), claims adjudication, refill authorizations and therapeutic interventions.
11. **Class K: Internet.** A pharmacy that provides services as defined in section 338.010, RSMo, and is involved in the receipt, review, preparation, compounding, dispensing or offering for sale any drugs, chemicals, medicines or poisons for any new prescriptions originating from the internet for greater than ninety percent (90%) of the total new prescription volume on any day. A prescription must be provided by a practitioner licensed in the United States authorized by law to prescribe drugs and who has performed a sufficient physical examination and clinical assessment of the patient.
12. **Class L: Veterinary.** A pharmacy that dispenses, sells or provides legend drugs for animal use only. Not required if the pharmacy is applying for a Class A permit.
13. **Class M: Specialty (Bleeding Disorder).** A pharmacy that provides services as defined in section 338.010, RSMo, that dispenses blood-clotting products to bleeding disorder patients or that offers or advertises to provide blood-clotting products specifically for bleeding disorder patients, as defined by 20 CSR 2220-6.100.
14. **Class N: Automated Dispensing System (Health Care Facility).** A pharmacy operating an automated dispensing system within a licensed health care facility. An automated dispensing system is defined to include, but is not limited to, a mechanical system that performs operations or activities relative to the storage, packaging or dispensing of medications for patients, and which collect, control, and maintain all transaction information. See also 20 CSR 2220-2.900.
15. **Class O: Automated Dispensing System (Ambulatory Care).** A pharmacy operating an automated dispensing system for ambulatory patients. Not required if the pharmacy is applying for a Class A permit. An automated dispensing system is defined to include, but is not limited to, a mechanical system that performs operations or activities relative to the storage, packaging or dispensing of medications for patients, and which collect, control, and maintain all transaction information. See also 20 CSR 2220-2.900.
16. **Class P: Practitioner/Office Clinic.** A pharmacy located in a healthcare practitioner's office or clinic. A pharmacy permit is not required for practitioner office dispensing to his/her own patients. *Final rules not promulgated.*



## Missouri In-State Pharmacy Change of Ownership Application

### MISSOURI IN-STATE PHARMACY CHANGE OF OWNERSHIP APPLICATION

#### SUBMIT THIS COMPLETED APPLICATION TO:

##### MAILING ADDRESS

MISSOURI BOARD OF PHARMACY

P.O. BOX 625

JEFFERSON CITY, MO 65102

##### OVERNIGHT ADDRESS

MISSOURI BOARD OF PHARMACY

3605 MISSOURI BOULEVARD

JEFFERSON CITY, MO 65109

- ✓ SEE INSTRUCTION SHEET FOR COMPLETION OF THIS FORM
- ✓ **\$300.00 APPLICATION FEE. FEE IS NON-REFUNDABLE**
- ✓ KEEP COPY OF COMPLETED APPLICATION FOR YOUR RECORDS

#### FOR OFFICE USE ONLY

PRE-LICENSE #

INSPECTOR

PERMIT #

TEMP ISSUE DATE

PERM ISSUE DATE

RECEIVED

### SECTION A: PHARMACY INFORMATION

CURRENT PHARMACY NAME

CURRENT PHARMACY PERMIT #

NEW PHARMACY NAME (*List the new pharmacy dba name after the change of ownership. The pharmacy may only operate under the name and address listed below*)

PHARMACY PHYSICAL ADDRESS

(STREET)

(CITY)

(STATE)

(ZIP)

NEW PHARMACY TELEPHONE #

NEW PHARMACY FAX #

NEW PHARMACY E-MAIL ADDRESS

NEW PHARMACY WEBSITE (IF APPLICABLE)

**PHARMACIST-IN-CHARGE:** Pharmacy operations must be conducted at all times under the supervision of a properly designated pharmacist-in-charge. The attached Pharmacist-in-Charge Statement must be submitted with this application.

NAME OF DESIGNATED PHARMACIST-IN-CHARGE

MISSOURI PHARMACIST LICENSE #

#### DATE OF OWNERSHIP CHANGE

List the proposed date of ownership change. Answer "unknown" if a date has not been identified. You must notify the Board office when the change of ownership officially occurs by calling (573) 526-6985 or e-mailing [pharmacy@pr.mo.gov](mailto:pharmacy@pr.mo.gov). A temporary permit will be issued once notification of the change is made. The pharmacy may not begin operating under the new ownership until a new temporary or permanent pharmacy permit has been issued for the new owners. **Pharmacy permits are not transferable.**

PROPOSED DATE OF OWNERSHIP CHANGE

### SECTION B: OWNERSHIP INFORMATION

- Provide ownership information for the new pharmacy identified in Section A. The owner listed below will be deemed the **official permit holder** of record that is authorized to operate the pharmacy identified in Section A.
- The official mailing address listed below will be used for official Board communications, including, legal notices. Note: Renewal notices will be mailed to the pharmacy's physical address.

OWNER NAME (ENTITY/INDIVIDUAL)

OFFICIAL MAILING ADDRESS

(STREET)

(CITY)

(STATE)

(ZIP)

TELEPHONE #

FAX #

E-MAIL ADDRESS

#### OWNER TYPE:

THE ABOVE OWNER IS A:

- ☐ Individual/Sole Proprietorship   ☐ Corporation   ☐ LLC   ☐ LP/LLP   ☐ Partnership   ☐ Government/Tribal Agency
- ☐ Other \_\_\_\_\_



## Missouri In-State Pharmacy Change of Ownership Application

List the name of all officers, owners, partners or members for the owner listed above. If the owner is a government or tribal agency, list the names of any agency managers or directors connected with the applicant. Attach a separate sheet if necessary.

NAME	TITLE	ADDRESS	SSN

Provide the following information for any individual, sole proprietor, partner, corporation or other entity owning more than 25% of the applicant. Attach a separate sheet if necessary.

NAME	ADDRESS	SSN (If applicable)	% OWNED

### SECTION C: PHARMACY CLASSIFICATION/MANAGEMENT

The new pharmacy is applying for the following permit classes (check ALL that apply):

- |  |   |
|--|---|
| <input type="checkbox"/> Class A (Community/Ambulatory)        | <input type="checkbox"/> Class J (Shared Services) **Class J Questionnaire must be completed & attached** |
| <input type="checkbox"/> Class B (Hospital Pharmacy)           | <input type="checkbox"/> Class K (Internet)   |
| <input type="checkbox"/> Class C (Long-Term Care)              | <input type="checkbox"/> Class L (Veterinary)   |
| <input type="checkbox"/> Class D (Non-Sterile Compounding)     | <input type="checkbox"/> Class M (Specialty Bleeding Disorder)  |
| <input type="checkbox"/> Class E (Radio Pharmaceutical)        | <input type="checkbox"/> Class N (Automated Dispensing System- Health Care Facility)                      |
| <input type="checkbox"/> Class F (Renal Dialysis)              | <input type="checkbox"/> Class O (Automated Dispensing System- Ambulatory Care)                           |
| <input type="checkbox"/> Class G (Medical Gas)                 | <input type="checkbox"/> Class P (Practitioner Office/Clinic)   |
| <input type="checkbox"/> Class H (Sterile Product Compounding) |   |
| <input type="checkbox"/> Class I (Consultant Services)         |   |

SEE APPLICATION INSTRUCTIONS FOR PHARMACY CLASS DEFINITIONS





## Missouri In-State Pharmacy Change of Ownership Application

### SECTION D: CHARGES, CONVICTIONS, DISCIPLINARY ACTIONS AND STATUS

Answer all questions in this section. If you answer “yes” to any question, a detailed written explanation must be included with your application (*attach additional sheets if necessary*). Failure to include an explanation or to answer all of the questions will result in your application being rejected. If you are in doubt, answer “yes” and provide an explanation.

- **SUSPENDED IMPOSITION OF SENTENCE/SUSPENDED EXECUTION OF SENTENCE:** You are required to answer “yes” to the criminal history questions and to provide an explanation even if a Suspended Imposition of Sentence (“SIS”) or Suspended Execution of Sentence (“SES”) has been received. An attorney may advise you that you do not have to report SIS or SES information. However, the Board has access to both SIS and SES records. You must answer “yes” even if you received a SIS or a SES.
- If you answer “yes” to any of the criminal history questions, you must provide court documents that show the dates, charges and dispositions of your arrests/convictions. This typically includes copies of the charging document (complaint, indictment), the Judgment and Sentence and any other documents showing the disposition of your case.
- *338.185, RSMo, provides: “After August 28, 1990, notwithstanding any other provisions of law, the board of pharmacy shall have access to records involving an applicant for a license or permit or renewal of a license or permit as provided within this chapter, where the applicant has been adjudicated and found guilty or entered a plea of guilty or nolo contendere in a prosecution under the laws of any state or of the United States for any offense reasonably related to the qualifications, functions, or duties of any profession licensed or regulated under this chapter, for any offense an essential element of which is fraud, dishonesty or an act of violence or for any offense involving moral turpitude, whether or not sentence is imposed.”*

1. Has any owner, partner, officer or the pharmacist-in-charge ever been found guilty or entered a plea of guilty or nolo contendere to a felony or misdemeanor in Missouri or in any other state, country or court (including federal court)? ☐ YES ☐ NO
2. Does any owner, partner, officer or the pharmacist-in-charge currently have any felony or misdemeanor criminal charges pending against them in Missouri or in any other state, country or court (including federal court)? ☐ YES ☐ NO
3. Has any owner, partner, officer or the pharmacist-in-charge ever received a Suspended Imposition of Sentence (SIS) or Suspended Execution of Sentence (SES) (felony or misdemeanor) in any criminal prosecution in Missouri or in any other state, country or court (including federal court)? ☐ YES ☐ NO
4. Has any owner, partner, officer or the pharmacist-in-charge ever been found guilty of or entered a plea of guilty or nolo contendere to any traffic offense resulting from or related to the use of drugs or alcohol in any state, country or court (including a municipal court) whether or not sentence was imposed (SIS) or a suspended execution of sentence (SES) was received? ☐ YES ☐ NO
5. Has any owner, partner, officer or the pharmacist-in-charge ever been, or is now, addicted to any drugs, controlled substances or alcoholic beverages? ☐ YES ☐ NO
6. Has any owner, partner, officer or the pharmacist-in-charge ever had an application for a pharmacy technician, pharmacist, pharmacy intern, pharmacy, drug distributor or any other healthcare registration, license, permit, or certificate denied, disciplined or refused in this state, or any other state or country? (*If yes, copies of any denial/refusal/disciplinary documents must be provided*) ☐ YES ☐ NO
7. Has any owner, partner, officer or the pharmacist-in-charge ever had any controlled substance registration, license, permit, or certificate denied, disciplined or refused in this state, or any other state or country? (*If yes, copies of any denial/refusal/disciplinary documents must be provided*) ☐ YES ☐ NO
8. Has any owner, partner, officer or the pharmacist-in-charge ever been adjudged insane or incompetent by or in any state, country or court? ☐ YES ☐ NO



## Missouri In-State Pharmacy Change of Ownership Application

### SECTION E: TAX COMPLIANCE

Missouri law requires that the Board verify compliance with designated state sales and withholding tax laws before issuing certain professional licenses or permits that are required to conduct business in this state. Except as otherwise provided below, this application will not be processed unless you provide:

- ☐ **Business Entity State Tax Compliance Form** (attached to this application).
- ☐ **A Certificate of No Tax Due** (required for businesses that engage in retail sales other than prescriptions). Missouri law requires that any business being licensed by the state must provide a Certificate of No Tax Due from the Missouri Department of Revenue if the business engages in retail sales other than prescriptions. Certificates may be obtained online at <http://dor.mo.gov/business/sales/notaxdue/>. Questions about obtaining a Certificate should be addressed to the Missouri Department of Revenue at (573) 751-9268. Note: A Certificate is not required if the Business Entity State Tax Compliance Form is marked to identify that the applicant does not engage in the sale of goods at retail.

#### **Individuals/Sole Proprietors must also complete the following:**

**PURSUANT TO SECTION 324.010, RSMo:**

Were you a Missouri resident in any of the last 3 years? ☐ YES ☐ NO

Did you have Missouri income in any of the last 3 years? ☐ YES ☐ NO

Were you subject to any Missouri income tax in any of the last 3 years? ☐ YES ☐ NO

*All tax questions must be completed. False statements are subject to criminal penalties and/or license discipline. Questions regarding income taxes should be sent to the Department of Revenue at (573) 751-7200 or e-mailed to [income@dor.mo.gov](mailto:income@dor.mo.gov).*

### SECTION F: APPLICANT AFFIDAVIT

*This affidavit must be signed by a partner, corporate officer, or the sole proprietor named in this application. Alternatively, the application may be signed by a person with a designated power of attorney who is authorized to sign and submit this application on the pharmacy's behalf. Proof of the designated power of attorney must be submitted with this application.*

This application is hereby submitted on behalf of the pharmacy identified herein. I attest the foregoing application has been completed truthfully and accurately to the best of my knowledge and belief. I am making this affidavit knowing that any false statements or material omission may subject me or the entity identified herein to criminal penalties for making a false affidavit under Section 575.050, RSMo.

I understand that the applicant/pharmacy must comply with all applicable federal and state law(s) as well as the regulations of the Missouri Board of Pharmacy. I attest and understand that the pharmacy shall maintain a pharmacist-in-charge for the facility and such pharmacy shall be conducted and operated in full compliance with state and federal pharmacy, controlled substance and drug distributor laws and regulations. I hereby certify under penalty of perjury that the information and answers contained in this application and any attachments are true and correct to the best of my knowledge and belief.

SIGNATURE OF APPLICANT	TITLE
PRINT NAME	DATE

### SECTION G: APPLICATION CONTACT PERSON

*Please provide a contact person for questions from the Board office regarding this license application.*

CONTACT NAME	POSITION/TITLE
CONTACT MAILING ADDRESS (STREET) (CITY) (STATE) (ZIP)	
CONTACT TELEPHONE #	CONTACT FAX #
CONTACT E-MAIL ADDRESS	



## Missouri In-State Pharmacy Change of Ownership Application

### PHARMACIST-IN-CHARGE (PIC) STATEMENT (MUST BE COMPLETED BY THE DESIGNATED PHARMACIST-IN-CHARGE)

DESIGNATED PHARMACIST-IN-CHARGE NAME	MISSOURI PHARMACIST LICENSE #			
PHARMACY NAME				
PHARMACY ADDRESS	(STREET)	(CITY)	(STATE)	(ZIP)
PHARMACIST-IN-CHARGE E-MAIL ADDRESS		PHARMACIST-IN-CHARGE TELEPHONE #		
<p>1. Have you read and do you understand the laws of the State of Missouri relating to pharmacists and pharmacies as embodied in Chapter 195 and 338 Revised Statutes of Missouri? <input type="checkbox"/> YES</p> <p>2. Do you agree and understand that you are personally responsible for ensuring the pharmacy's compliance with all applicable state and federal law as the pharmacist-in-charge? <input type="checkbox"/> YES</p> <p>3. Do you agree to observe and abide by all provisions of the above-named Missouri statutes? <input type="checkbox"/> YES</p> <p>4. Do you agree to observe and abide by all lawful regulations promulgated by the Missouri Board of Pharmacy? <input type="checkbox"/> YES</p> <p>5. Do you agree to observe and abide by all federal laws and regulations governing storing, dispensing, and sale of legend drugs and pharmaceuticals, including, controlled substances? <input type="checkbox"/> YES</p> <p>6. Do you understand and agree that no prescription will be compounded, sold or dispensed from your pharmacy except under the direct supervision of a pharmacist currently licensed in the State of Missouri? <input type="checkbox"/> YES</p> <p>7. Do you understand and agree that no pharmaceutical item bearing the federal "legend" shall be sold, dispensed or otherwise disposed of except by lawful means? <input type="checkbox"/> YES</p> <p>8. Have you read and do you understand the pharmacist-in-charge requirements as outlined in 20 CSR 2220-2.090? <input type="checkbox"/> YES</p> <p>9. Do you understand and agree that the pharmacist-in-charge is responsible for the professional and ethical conduct of the pharmacy? <input type="checkbox"/> YES</p> <p>10. Do you agree that all persons involved in operating the pharmacy will be supervised by a MO licensed pharmacist at all times? <input type="checkbox"/> YES</p>				

### PHARMACIST-IN-CHARGE ATTESTATION

I \_\_\_\_\_ attest this Statement has been completed truthfully and accurately to the best of my knowledge and belief. I am making this affidavit knowing that any false statements or material omission may subject me or the entity identified herein to criminal penalties for making a false affidavit under Section 575.050, RSMo.

I agree that I will serve as the pharmacist-in-charge of the pharmacy identified in this application. I understand the permit will be issued to the applicant with my name appearing thereon as pharmacist-in-charge. I have reviewed rule 20 CSR 2220-2.090 and agree to comply with the requirements thereof. I understand that I am personally responsible for ensuring the pharmacy's compliance with all applicable state and federal law governing the practice of pharmacy, controlled substances and drug distribution. If my designation as pharmacist-in-charge ends or changes for any reason, I will immediately notify the Missouri Board of Pharmacy. **ALL THIS I AFFIRM UNDER PENALTY OF PERJURY.**

#### MUST BE SIGNED IN THE PRESENCE OF A NOTARY

SIGNATURE OF PHARMACIST-IN-CHARGE		MISSOURI PHARMACIST LICENSE #
PRINT NAME		DATE SIGNED
NOTARY PUBLIC EMBOSSER OF BLACK INK RUBBER SEAL STAMP	STATE  SUBSCRIBED AND SWORN BEFORE ME, THIS _____ DAY OF _____ YEAR _____	COUNTY (OR CITY OF ST. LOUIS)





## Missouri In-State Pharmacy Change of Ownership Application

### PRIOR OWNER AFFIDAVIT (THIS SECTION MUST BE COMPLETED BY THE PRIOR OWNERS)

*This affidavit must be signed by a partner, corporate officer, or the sole proprietor of the permit holder being purchased, sold or otherwise changing ownership. Alternatively, the application may be signed by a designated power of attorney who is authorized to sign and submit this application on the pharmacy's behalf. Proof of the designated power of attorney must be submitted with this application.*

NAME OF INDIVIDUAL SUBMITTING THIS AFFIDAVIT	POSITION/TITLE
NAME OF PHARMACY BEING PURCHASED/CHANGING OWNERSHIP	PERMIT #
CONTACT MAILING ADDRESS (STREET) (CITY) (STATE) (ZIP)	
E-MAIL ADDRESS	PHONE #

#### CHECK:

- ☐ I hereby request and understand that the Missouri pharmacy permit number identified herein will be deemed **null and void** after the Board has been notified that the change of ownership is effective.

#### RECORDS

Complete the following section if the pharmacy records required to be maintained by law (i.e. - prescription records, records regarding the receipt, distribution or other disposition of legend drugs) are **not** being transferred to the new owner. **Do not complete if pharmacy records are being transferred to the new owner.**

CONTACT PERSON FOR RECORDS QUESTIONS	CONTACT TELEPHONE #
ADDRESS WHERE THE PHARMACY'S PRESCRIPTION RECORDS WILL BE STORED/HELD AFTER THE CHANGE OF OWNERSHIP (STREET, CITY, STATE, ZIP)	

#### ATTESTATION

*This affidavit must be signed by a partner, corporate officer, or the sole proprietor named in this application. Alternatively, the application may be signed by a person with a designated power of attorney authorizing the individual to sign and submit this application on the pharmacy's behalf. Proof of the designated power of attorney form must be submitted with this application.*

I \_\_\_\_\_ do solemnly swear or affirm that I am a partner, corporate officer or the sole proprietor of the pharmacy identified herein. I hereby request and understand that the Missouri pharmacy permit number identified herein will be deemed **null and void** on the effective date of the ownership change and terminated by the Board. I understand that no pharmacy service identified in section 338.010, RSMo, may be performed by or on behalf of the pharmacy under the current permit number after the permit has been terminated by the Board. All this I affirm under penalty of perjury.

#### MUST BE SIGNED IN THE PRESENCE OF A NOTARY

SIGNATURE	TITLE	
PRINT NAME	DATE SIGNED	
NOTARY PUBLIC EMBOSSE OF BLACK INK RUBBER SEAL STAMP	STATE  SUBSCRIBED AND SWORN BEFORE ME, THIS _____ DAY OF _____ YEAR _____	COUNTY (OR CITY OF ST. LOUIS)



*Missouri Division of Professional Registration*  
**MISSOURI BOARD OF PHARMACY**

**BUSINESS ENTITY STATE TAX  
COMPLIANCE FORM**

Missouri state law requires that businesses engaged in the retail sale of goods must possess a no tax due letter from the Department of Revenue at the time of licensing. Section 114.083.4 RSMo. (Cum Supp 2008) states:

In addition to the provisions of subsection 2 of this section, beginning January 1, 2009, **the possession of a statement from the department of revenue stating no tax is due under sections 143.191 to 143.265, RSMo, or sections 144.010 to 144.510 shall also be a prerequisite to the issuance or renewal of any city or county occupation license or any state license required for conducting any business where goods are sold at retail.** The statement of no tax due shall be dated no longer than ninety days before the date of submission for application or renewal of the city or county license.

You may obtain a tax clearance letter by visiting <http://dor.mo.gov/tax/business/sales/notaxdue/index.htm>, e-mailing <mailto:taxclearance@dor.mo.gov>, or calling the Department of Revenue at (573) 751-9268.

**Compliance Statement**

PLEASE SELECT ONE OF THE FOLLOWING:

- ☐ This business engages in the sale of goods at retail and has filed and paid all of its sales tax obligations. Please provide a copy of your Missouri No Tax Due compliance letter or provide your 8-digit Missouri state tax ID number below.  
Missouri state tax number \_\_\_\_\_
- ☐ This business does not engage in the sale of goods at retail (other than prescriptions).

**WARNING: Statements made on this form are subject to audit. A false statement on this form subjects the license to discipline. Any person who makes a false statement on this form, and the business for which the false statement is made, are subject to criminal penalties for misleading a public servant. § 575.060 RSMo.**

Name of Entity: \_\_\_\_\_

Signature: \_\_\_\_\_ Title: \_\_\_\_\_  
(Owner, President, Partner)

Print Name: \_\_\_\_\_ Date: \_\_\_\_\_



STATE OF MISSOURI  
DIVISION OF PROFESSIONAL REGISTRATION  
**PHARMACY SELF-INSPECTION FOR CHANGE OF OWNERSHIP-  
INITIAL INSPECTION**

**MAILING ADDRESS:**  
MISSOURI BOARD OF PHARMACY  
P.O. BOX 625  
JEFFERSON CITY, MO 65102  
(573) 751-0091  
(573) 526-3464 (FAX)

**DELIVERY ADDRESS:**  
3605 MISSOURI BOULEVARD  
JEFFERSON CITY, MO 65109

NAME OF PHARMACY (AS APPEARS ON APPLICATION FOR NEW PERMIT)

STREET		CITY	STATE	ZIP CODE
DATE	TELEPHONE NUMBER	PHARMACIST-IN-CHARGE NAME		LICENSE NO.

**DEFINITIONS FOR SELF-INSPECTION PROCEDURES**

The pharmacist-in-charge is required to complete the self-inspection process and this form on the day that ownership of the pharmacy is legally transferred or at the beginning of the first day of operation under new ownership. It is important to ensure compliance in all areas listed on this form before operation under new ownership of a pharmacy can begin. Any false statements made on this form could result in the enforcement of penalties as provided by law.

HAS THIS PHARMACY CHANGED LOCATION?

☐ YES ☐ NO

If this pharmacy has changed location as defined in 20 CSR 2220-2.020(4), the self-inspection process cannot be completed. You must complete and send a change of location application to the board office.

**COMPLIANCE**

**SECURITY OF PHARMACY OPERATIONS**

	YES	NO
1) All areas of the physical plant of the pharmacy where drugs received, stored or dispensed and patient records are maintained are inaccessible by the public. (GUIDANCE: It is important that the overall design of the pharmacy does not allow for easy access by the public by individuals overcoming barriers due to inadequate installation or use of inadequate construction materials.)	<input type="checkbox"/>	<input type="checkbox"/>
2) In pharmacies where the hours of the pharmacy department differ from the hours of the facility, all means of access to the pharmacy maintain locks and/or alarms to sufficiently deter access by unauthorized personnel.	<input type="checkbox"/>	<input type="checkbox"/>
3) The pharmacy maintains a locked cabinet, fixture or safe in order to store Class II drugs.	<input type="checkbox"/>	<input type="checkbox"/>

**REGULATORY REQUIREMENTS**

	YES	NO
1) An inventory of controlled substances has been completed at the time of the change of ownership. Date completed: _____	<input type="checkbox"/>	<input type="checkbox"/>
2) The pharmacy maintains current references as required by law.	<input type="checkbox"/>	<input type="checkbox"/>
3) A sink is available with hot/cold running water with an appropriate attachment to a city/county sewer system that is located within or immediately adjacent to the pharmacy and that is not considered a part of a restroom facility.	<input type="checkbox"/>	<input type="checkbox"/>
4) Proper refrigeration for the storage of pharmaceutical products is available. Temperature range is maintained between 36 to 46 degrees F.	<input type="checkbox"/>	<input type="checkbox"/>
5) Prescription files are maintained according to laws governing hard copy records and electronic data records. Hard copy records are retrievable on-site as required by law. Sufficient mechanisms exist in order to provide for the numbering of prescriptions that are dispensed.	<input type="checkbox"/>	<input type="checkbox"/>
6) A secure dispensing area is available within the pharmacy physical plant that contains all required equipment for each class of pharmacy noted on the pharmacy permit.	<input type="checkbox"/>	<input type="checkbox"/>

**NOTE: Any pharmacy that chooses to store drugs at another address must report such sites as warehouses. Provide the address of any such locations within the space provided on the reverse side.**

As pharmacist-in-charge, I attest that the answers provided on this form are true and correct and that the pharmacy complies with all laws and regulations as they pertain to the operation of a pharmacy. I further attest that I have reviewed and understand all responsibilities as pharmacist-in-charge set forth in 20 CSR 2220-2.090 Pharmacist-In-Charge.

SIGNATURE OF PHARMACIST-IN-CHARGE

NOTARY PUBLIC EMBOSSER OR BLACK INK RUBBER STAMP SEAL	STATE		COUNTY (OR CITY OF ST. LOUIS)
	SUBSCRIBED AND SWORN BEFORE ME, THIS		
	DAY OF	YEAR	USE RUBBER STAMP IN CLEAR AREA BELOW.
	NOTARY PUBLIC SIGNATURE	MY COMMISSION EXPIRES	
NOTARY PUBLIC NAME (TYPED OR PRINTED)			

## OTHER INFORMATION

[illegible]